

**Date of Deposit** 12/11/03

Patent  
Attorney's Docket No. 033421-020

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
Michael D. Laufer et al.	)	Group Art Unit: Unassigned
Application No.: Unassigned	)	Examiner: Unassigned
Filed: Herewith	)	Confirmation No.: Unassigned
For: FAT REMOVAL AND NERVE	)	
PROTECTION DEVICE AND METHOD	)	
	)	
	)	

**INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

**MAIL STOP IDS**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

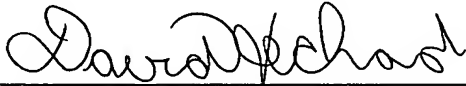
- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: December 11, 2003

By:   
David R. Heckadon  
Registration No. 50,184

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

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Michael D. Laufer et al.

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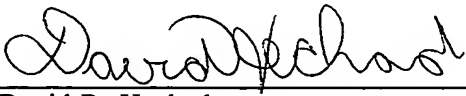
- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [ ] A statement under 37 C.F.R. § 1.97(e) is also enclosed.
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Filed: Herewith	)	Confirmation No.: Unassigned
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PROTECTION DEVICE AND METHOD	)	
	)	
	)	

**FIRST  
INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP IDS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

**U.S. Patents**

4,735,605	Swartz	04/05/1988
4,867,157	McGurk-Burleson et al.	09/19/1989
4,932,935	Swartz	06/12/1990
5,569,178	Henley	10/29/1996
5,823,990	Henley	10/20/1998
5,906,609	Assa et al.	05/25/1999

(09/03)

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b) no fee or statement is required.

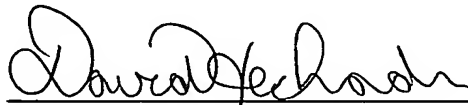
To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: December 11, 2003

By:



David R. Heckadon  
Registration No. 50,184

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

Substitute for forms 1449A/PTO &amp; 1449B/PTO

# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

ATTORNEY'S DKT No.  
033421-020

APPLICATION No.  
Unassigned

APPLICANT  
Michael D. Laufer et al.

FILING DATE  
Herewith

GROUP  
Unassigned

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	4,735,605		Swartz	04/05/1988
	4,867,157		McGurk-Burleson et al.	09/19/1989
	4,932,935		Swartz	06/12/1990
	5,569,178		Henley	10/29/1996
	5,823,990		Henley	10/20/1998
	5,906,609		Assa et al.	05/25/1999

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes	No

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.